UNITED WAY OF KENTUCKY

INVESTMENT COLLUSION DISCLAIMER FORM

The signer expressly states that no fee, attorney's fee, commission, allowance, gratuity, reward, gift, promise, loan, or compensation of any kind has been made or paid, will be made or paid to the signer in connection with investment transactions involving the United Way of Kentucky or any other matters arising out of or pertaining to same. The signer also states that he or she has no financial interest in the entity from which the investment will be purchased or sold.

Signature: _____

Printed Name: _____

Date: _____

If the signer believes that he or she has a financial interest in the entity from which the investments will be purchased or sold, please indicate the nature of the financial interest below:

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